

Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrp Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 31/12/2017

Particulars	Yes	No
1 Whether the Listed Equity has issued any Partly Paid up shares?		No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4 Whether the Listed Equity has any shares in Locked-in?		No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?		No



TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity Shares Held	No. of Partly Paid-up Equity Shares Held	No. of Underlying Depository Receipts	No. of Shares Held	Total Nos. Shares	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding	Shareholding as % assuming full conversion of securities (X)	Number of Locked in shares (XII)	Number of Shares pledged or encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)	
										Class X	Class Y	Total						Total as a % of total voting Rights
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)											
(1) Indian																		
(a) Individuals/Hindu undivided Family			13	2164900			2164900	43.296	2164900	2164900	43.296		43.296				779700	
	ANIL NAHATA			30000			30000	0.600	30000	30000	0.600		0.600					
	AVINASH NAHATA			30000			30000	0.600	30000	30000	0.600		0.600					
	BALCHAND SINGHI			203400			203400	4.068	203400	203400	4.068		4.068					
	HATHI MAL NAHATA			80100			80100	1.602	80100	80100	1.602		1.602					
	KAMAL SINGHI	AMIPS2603P		50100			50100	1.002	50100	50100	1.002		1.002				50000	
	MADHU SINGHI			50000			50000	1.000	50000	50000	1.000		1.000					
	PRAKASH CHAND NAHATA			80000			80000	1.600	80000	80000	1.600		1.600					
	RAJ KUMAR NAHATA	ABMPN8448E		723400			723400	14.467	723400	723400	14.467		14.467				235800	
	SARIKA NAHATA			30000			30000	0.600	30000	30000	0.600		0.600					
	SAROJ DEVI NAHATA			30000			30000	0.600	30000	30000	0.600		0.600					
	SHEESH KARAN SINGHI	AKLPS6473J		693900			693900	13.877	693900	693900	13.877		13.877				493900	
	SUMAN NAHATA			50000			50000	1.000	50000	50000	1.000		1.000					
	SUMAN SINGHI			114000			114000	2.280	114000	114000	2.280		2.280					
(b) Central Government/State Government (s)																		
(c) Financial Institutions/Banks																		
(d) Any Other																		
	Bodies Corporate		2	68000			68000	1.360	68000	68000	1.360		1.360					
	RACHYITA INVESTMENT PVT. LTD.			48000			48000	0.960	48000	48000	0.960		0.960					
	SAMRAT MERCANTILE PVT. LTD.			20000			20000	0.400	20000	20000	0.400		0.400					
	Trusts																	
	Clearing Members																	
	Sub-Total (A) (1)		15	2232900			2232900	44.656	2232900	2232900	44.656		44.656				779700	
(2) Foreign																		
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b) Government																		
(c) Institutions																		
(d) Foreign Portfolio Investor																		
(e) Any Other																		
	OCBs																	
	Sub-Total (A) (2)																	
	Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2)		15	2232900			2232900	44.656	2232900	2232900	44.656		44.656				779700	

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Paid-up shares Held	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	No. of Shares Held	Total Nos. Shares	Shareholding (Calculated as per SCRB, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding (including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (XI) = (VII) + (X) as a % of (A) + (B) + (C)	Number of Locked in shares (XII)	Number of Shares pledged or encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
											No. of Voting Rights							
											Class X	Class Y	Total					
(1)	Institutions																	
(a)	Mutual Funds			2	83500				83500	1.670	83500	83500	1.670		1.670			
	CRB TRUSTEE LTD A/C CRB MUTUAL FUND				73400				73400	1.468	73400	73400	1.468		1.468			
(b)	Venture Capital Funds																	
(c)	Alternate Investment Funds																	
(d)	Foreign Venture Capital Investors																	
(e)	Foreign Portfolio Investors																	
(f)	Financial Institutions/Banks																	
(g)	Insurance Companies																	
(h)	Provident Funds/Pension Funds																	
(i)	Any Other Foreign Institutional Investors																	
	QFI - Corporate																	
	Sub-Total (B) (1)			2	83500				83500	1.670	83500	83500	1.670		1.670			
(2)	Central Government/State Government(s)/President of India																	
	Sub-Total (B) (2)																	
(3)	Non-Institutions																	
(a)	Individuals																	
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.			3286	1546800				1546800	30.935	1546800	1546800	30.935		30.935			357100
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.			2	275000				275000	5.500	275000	275000	5.500		5.500			
	RAKHEE SHAMSUKHA				250000				250000	5.000	250000	250000	5.000		5.000			
(b)	NBFCs registered with RBI																	
(c)	Employee Trusts																	
(d)	Overseas Depositors (holding DRs) (balancing figure)																	
(e)	Any Other Bodies Corporate			53	860600				860600	17.211	860600	860600	17.211		17.211			207600
	GBF SHARE & STOCK BROKING SERVICES LTD				200000				200000	4.000	200000	200000	4.000		4.000			
	VICES LTD				80500				80500	1.610	80500	80500	1.610		1.610			80500
	HUNGERFORD CONSULTANTS PRIVATE LIMITED				83400				83400	1.668	83400	83400	1.668		1.668			
	M H FINANCE AND MERCANTILE PRIVATE LIMITED				67500				67500	1.350	67500	67500	1.350		1.350			
	VICO PRODUCTS BOMBAY PRIVATE LIMITED				65600				65600	1.312	65600	65600	1.312		1.312			65600
	WELLWORTH MULTYFIN PRIVATE LIMITED				98400				98400	1.968	98400	98400	1.968		1.968			
	QFI - Individual																	
	NRI - Repatriable																	
	NRI - Non-Repatriable																	

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	No. of Shares Held	Total Nos. Shares	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares outstanding	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (XI) = (VII) + (X) as a % of (A) + (B) + (C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat or serialized form (XIV)
										No. of Voting Rights			Total as a % of total voting Rights					
										Class X	Class Y	Total						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)											
OCBs Trusts Clearing Memebers IEPF Authority			5	1400			1400	0.028	1400		1400	0.028		0.028			1400	
Sub-Total (B) (3)			3346	2683800			2683800	53.674	2683800		2683800	53.674		53.674			566100	
Total Public Shareholding (B) = (B) (1) + (B) (2) + (B) (3)			3348	2767300			2767300	55.344	2767300		2767300	55.344		55.344			566100	

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Underlying Depository Receipts	No. of Shares Held	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares outstanding convertible securities (including Warrants)	Shareholding as % assuming full conversion of securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
										No. of Voting Rights			Total as a % of total voting Rights					
										Class X	Class Y	Total						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)											

- (1) Custodian DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014)

Total Non-Promoter - Non-Public Shareholding (C)=(C) (1)+(C) (2)